

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Denis Fetherston
App. No. : Filed herewith
Filed : Filed herewith
For : SYSTEM AND METHOD FOR
ASSISTING AN ORGANIZATION TO
IMPLEMENT AND MAINTAIN
COMPLIANCE WITH VARIOUS
OBLIGATIONS
Examiner : Unknown
Group Art Unit : Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eleven (11) references that were previously disclosed to or cited by the Patent and Trademark Office in the prosecution of U.S. patent application Serial No. 10/103,595, filed March 19, 2002, which is the parent of this continuation application and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F. § 1.98(d).

This Information Disclosure Statement is being filed within three months of the filing date of this application, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 6/23/03

By: _____

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PIPMW1.1C1C1	APPLICATION NO. Filed Herewith
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Denis Fetherston	
		FILING DATE Filed Herewith	GROUP Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,864,497	09/05/89	Lowry et al.			
	2	5,752,054	05/12/98	Garber et al.			
	3	5,893,070	04/06/99	Garber et al.			
	4	6,065,000	05/16/00	Jensen			
	5	6,067,549	05/23/00	Smalley et al.			
	6	6,148,297	11/14/00	Swor et al.			
	7	6,256,640	07/03/01	Smalley et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	8	<u>Is Your Business at Risk? Introducing Legalware</u> ; Buddle Findlay, New Zealand; 1996
	9	<u>Technology and Communication Matters</u> ; Buddle Findlay, New Zealand; August 1996
	10	<u>Legalware User Notes</u> ; Buddle Findlay, New Zealand; August 7, 1996
	11	<u>Legalware Limited Compliance Assessment Report</u> ; Buddle Findlay and Ernst & Young; May 1996

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	